

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	J. Thomas Fowler, et al.	Examiner:	Aaron C. Piggush
Serial No.:	10/717,207	Group Art Unit:	2838
Filed:	November 19, 2003	Docket:	TI01.702US
Title:	BIDIRECTIONAL POWER CONVERTER FOR BALANCING STATE OF CHARGE AMONG SERIES CONNECTED ELECTRICAL ENERGY STORAGE UNITS		

INFORMATION DISCLOSURE STATEMENT

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

Representatives of assignee TIAX LLC
Finch & Nguyen PLLC

Date December 22, 2006

By 
Stephen R. Finch
Reg. No. 42,534

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<div style="text-align: right; font-size: small;">Complete if Known</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/717,207</td> </tr> <tr> <td>Filing Date</td> <td>November 19, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>J. Thomas Fowler</td> </tr> <tr> <td>Art Unit</td> <td>2838</td> </tr> <tr> <td>Examiner Name</td> <td>Aaron C. Piggush</td> </tr> </table>		Application Number	10/717,207	Filing Date	November 19, 2003	First Named Inventor	J. Thomas Fowler	Art Unit	2838	Examiner Name	Aaron C. Piggush
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US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Pop, V., et al., "State-of-the-art of battery of state-of-charge determination, Published October 31, 2005; Meas. Sci. Technol. 16(2005) R93-R110	

EXAMINER

DATE CONSIDERED